

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.
First Named Inventor

P30195D2C2
Richard Mark Hindley

(For new nonprovisional applications under 37 CFR 1.53(b))

"EXPRESS MAIL CERTIFICATE"

"EXPRESS MAIL" MAILING LABEL NUMBER **EV332944778US** DATE OF DEPOSIT: **24 November 2003**

I hereby certify that this paper or fee and the papers indicated as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date and with the Mailing Label Number indicated above and addressed to: COMMISSIONER FOR PATENTS, MAIL STOP: PATENT APPLICATION, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

NAME OF PERSON MAILING PAPER OR FEE
(TYPE OR PRINT) Shawn Simpson

SIGNATURE [Signature]

U.S. PTO
10/720824

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

7. ☒ The Title of the Invention:
**PROCESS FOR THE PREPARATION OF
PHARMACEUTICALLY ACTIVE THIAZOLIDINE
OR OXAZOLIDINE COMPOUNDS BY A YEAST
REDUCTASE**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. 19-2570
☒ General Authorization to charge any and all fees under 37 CFR 1.16 or 1.17, including petitions for extensions of time, relating to this application. (37 CFR 1.136(a)(3))
(Submit an original, and a duplicate for fee processing)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (identical to computer copy)
c. ☐ Statement verifying identity of above copies
d. ☐ Use the identical computer-readable form filed in Application No. _____, filed _____ as the computer-readable form for the instant application. (37 CFR 1.821(e))

2. ☒ The total fee is calculated as shown below:
Basic Filing fee \$770.00
Total Claims 19 - 20 = 6 x \$18 \$ 0.00
Independent Claims 3 - 3 = 1 x \$84 \$ 0.00
☐ Multiple Dependent Claim present. \$280
TOTAL FILING FEE \$770.00
☒ Cancel in this application original claims 1 to 17 of the prior application before calculating the filing fee.
☒ Charge **\$790.00** to the above indicated Deposit Account.

ACCOMPANYING APPLICATION PARTS

9. a. ☒ Information Disclosure Statement (IDS)
b. ☒ PTO-1449
c. ☒ Copies of all IDS Citations

10. ☐ Assignment Papers (cover sheet & document(s))

11. ☒ Prior Application is Assigned to:
SmithKline Beecham p.l.c.
(for continuation/divisional with Box 17a completed)

3a. ☒ Specification excluding Drawings [Total Pages] 31
3b. ☒ Abstract on a separate sheet [Total Pages] 1

12. ☒ Preliminary Amendment [Total Pages] 5

4. ☐ Drawing(s) (35 USC 113) [Total Sheets] _____

13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

5. ☒ Declaration and Power of Attorney [Total Pages] 3
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17a completed)
c. ☐ Unsigned Declaration
[Note Box 6 below]
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

15. ☒ Transfer all references cited by Applicants or by the Examiner from the parent Application Serial No. 09/952,465 filed September 14, 2001. A PTO-1449 listing the references is enclosed.

16. ☐ Other: _____

6. ☒ Incorporation By Reference (useable if Box 5b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

17. ☒ Priority Information, check appropriate box and supply the requisite information

a. The accompanying application is a ☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
of prior application No: 09/952,465 filed September 14, 2001.

b. ☐ Benefit is claimed under Title 35, United States Code, Section 119(e) of the following Provisional Applications:
Application No. _____ filed _____

c. **** Add claim to priority via Preliminary Amendment.**

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Signature
Name

Respectfully Submitted,

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Kathryn L. Seburth

Registration
No.

40,072

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PATENT TRADEMARK OFFICE